

# Checklist of International Risk Considerations

## Purpose

Conducting international business and implementing international projects exposes ASU to new risks that may need to be evaluated and mitigated. This checklist outlines such risks. If you answer **Yes** to any of the questions below, please contact Global Operations at [global.ops@asu.edu](mailto:global.ops@asu.edu) for further assistance in addressing the risk.

Risk Categories	Risk Considerations	Yes	No
Reputational	<ul style="list-style-type: none"> <li>○ Will the project be viewed as politically or morally controversial in the host country?</li> <li>○ Will a foreign entity have significant say over the technical direction of the project?</li> <li>○ Will the project pose any type of reputational threat to either the sponsor or ASU?</li> <li>○ Are there <a href="#">sanctions</a> in place between the U.S. and the host country?</li> </ul>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Health & Safety	<ul style="list-style-type: none"> <li>○ Will an ASU student travel to a foreign country outside of an ASU-approved study abroad program?</li> <li>○ Will an ASU employee travel to a destination with a <a href="#">Department of State Travel Alert or Warning?</a></li> <li>○ Will an ASU employee travel to a destination with a <a href="#">CDC Travel Health Notice of Warning Level 3?</a></li> <li>○ Will an ASU employee live in or travel to a destination with <a href="#">OFAC sanctions</a>?</li> <li>○ Will security services be needed/used?</li> <li>○ Will anyone travel with ASU equipment that includes <a href="#">sensitive data</a>?</li> </ul>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Foreign Participants	<ul style="list-style-type: none"> <li>○ Will there be an <a href="#">exchange program</a>?</li> <li>○ Will there be foreign participants?</li> <li>○ Will the project include work with minors?</li> <li>○ Are there visa and/or work permit concerns?</li> <li>○ Are there any language barrier concerns?</li> </ul>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Permanent Establishment	<ul style="list-style-type: none"> <li>○ Are there plans for an ASU employee to work in the host country for six (6) months or more per year?</li> <li>○ Are there plans to <a href="#">hire host or third-country nationals</a> as project personnel?</li> <li>○ Are there plans to <a href="#">register as a local entity/open an office</a> in the host country?</li> </ul>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

	<ul style="list-style-type: none"> <li>○ Are there plans to <a href="#">open a bank account</a> in the host country?</li> <li>○ Will the project generate local income?</li> <li>○ Will be ASU be required to pay <a href="#">foreign taxes</a>, like Value Added Tax (VAT)?</li> <li>○ Are there plans to <a href="#">purchase/lease real estate or movable capital equipment</a>, such as a vehicle?</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
Contractual	<ul style="list-style-type: none"> <li>○ Will the contract preclude ASU from entering into other agreements in the country for the same or different types of programs?</li> <li>○ Will ASU have to give up rights to intellectual property created solely or jointly by ASU?</li> <li>○ Are there any concerns with the <a href="#">procurement guidelines</a> of the country?</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<ul style="list-style-type: none"> <li>○ Is this a USAID project?</li> <li>○ Is the project located in a country that is a cash-based economy?</li> <li>○ Are there any major risks not captured above? If so, please contact Global Ops.</li> </ul>	<input type="checkbox"/>	<input type="checkbox"/>